

**ATTACHMENT TO CHINA CONSTRUCTION BANK (CCB)
GLOBAL CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS
FOR CCB BRANCHES, OFFICES AND SUBSIDIARIES**

NAME	ADDRESS	AUTHORITY
Anhui Branch	No. 253, Huizhou Road, Hefei	CBRC Anhui Office Hefei Central Sub-branch, Nanjing Branch, PBOC
	Postcode: 230001	
Beijing Branch	No.4, Building 28, Xuanwumen West Street, Beijing	CBRC Beijing Office Business Management Department of the People's Bank of China
	Postcode: 100053	
Chongqing Branch	No. 123, Minzu Road, Yuzhong District, Chongqing	CBRC Chongqing Office Chongqing Business Management Department of the People's Bank of China
	Postcode: 400010	
Dalian Branch	No. 1, Jiefang Street, Zhongshan District, Dalian	CBRC Dalian Office Dalian Central Sub-branch, Shenyang Branch, PBOC
	Postcode: 116001	
Fujian Branch	No.142, Guping Road, Fuzhou	CBRC Fujian Office Fuzhou Central Sub-branch, Shanghai Branch, PBOC
	Postcode: 350003	
Gansu Branch	No. 77, Qin'an Road, Lanzhou	CBRC Gansu Office Lanzhou Central Sub-branch, Xian Branch, PBOC
	Postcode: 730030	
Guangdong Branch	No. 509, Dongfeng Middle Road, Guangzhou	CBRC Guangdong Office PBOC Guangzhou Branch
	Postcode: 510045	
Guangxi Branch	No. 92, Minzu Road, Nanning	CBRC Guangxi Office Nanning Central Sub-branch, Guangzhou Branch, PBOC
	Postcode: 530022	

NAME	ADDRESS	AUTHORITY
Guizhou Branch	No. 56, Zhonghua North Road, Guiyang	CBRC Guizhou Office Guiyang Central Sub-branch, Chengdu Branch, PBOC
	Postcode: 550001	
Hainan Branch	CCB Plaza, Guomao Avenue, Haikou	CBRC Hainan Office Haikou Central Sub-branch, Guangzhou Branch, PBOC
	Postcode: 570125	
Hebei Branch	No. 40, Ziqiang Road, Shijiazhuang	CBRC Hebei Office Shijiazhuang Central Sub-branch, Tianjin Branch, PBOC
	Postcode: 050000	
Henan Branch	No.80, Huayuan Road, Zhengzhou	CBRC Henan Office Zhengzhou Central Sub-branch , Jinan Branch, PBOC
	Postcode: 450003	
Heilongjiang Branch	No. 67, Hongjun Street, Nan' gang District, Harbin	CBRC Heilongjiang Office Harbin Central Sub-branch, Shenyang Branch, PBOC
	Postcode: 150001	
Hubei Branch	No. 709, Jianshe Street, Wuhan	CBRC Hubei Office PBOC Wuhan Branch
	Postcode: 430015	
Hunan Branch	Yin'gang Plaza, No. 2, Baisha Road, Changsha	CBRC Hunan Office Changsha Central Sub-branch, Wuhan Branch, PBOC
	Postcode: 410005	
Jilin Branch	No. 810, Xi'an Road, Changchun	CBRC Jilin Office Changchun Central Sub-branch, Shenyang Branch, PBOC
	Postcode: 130061	
Jiangsu Branch	No. 188, Hongwu Road, Nanjing	CBRC Jiangsu Office PBOC Najing Branch
	Postcode: 210002	

NAME	ADDRESS	AUTHORITY
Jiangxi Branch	No. 366, Bayi Street, Nanchang	CBRC Jiangxi Office Nanchang Central Sub-branch, Wuhan Branch, PBOC
	Postcode: 330006	
Liaoning Branch	No. 176, Zhongshan Road, Heping District, Shenyang	CBRC Liaoning Office PBOC Shenyang Branch
	Postcode: 110002	
Inner Mongolia Branch	No. 9, Zhao Wuda Street, Huhhot	CBRC Inner Mongolia Office Huhhot Central Sub-branch, Tianjin Branch, PBOC
	Postcode: 010010	
Ningbo Branch	No. 31, Guangji Street, Ningbo	CBRC Ningbo Office Ningbo Central Sub-branch of PBOC Shanghai Branch
	Postcode: 315010	
Ningxia Branch	No. 98, Nanxun West Road, Yinchuan	CBRC Ningxia Office Yinchuan Central Sub-branch, Xian Branch, PBOC
	Postcode: 750001	
Qingdao Branch	No. 71, Guizhou Road, Qingdao	CBRC Qingdao Office Qingdao Central Sub-branch, Jinan Branch, PBOC
	Postcode: 266002	
Qinghai Branch	No. 59, West Street, Xining	CBRC Qinghai Office Xining Central Sub-branch, Xian Branch, PBOC
	Postcode: 810000	
Shandong Branch	No. 178, Luoyuan Street, Jinan	CBRC Shandong Office PBOC Jinan Branch
	Postcode: 250012	
Shaanxi Branch	No. 38, South Guangji Street, Xi'an	CBRC Shaanxi Office PBOC Xian Branch
	Postcode: 710002	

NAME	ADDRESS	AUTHORITY
Shanxi Branch	No. 126, Yingze Street, Taiyuan	CBRC Shanxi Office Taiyuan Central Sub-branch, Tianjin Branch, PBOC
	Postcode: 030001	
Shanghai Branch	No.900, Lujiazui Ring Road, Shanghai	CBRC Shanghai Office PBOC Shanghai Branch
	Postcode: 200120	
Shenzhen Branch	East Section, Finance Centre, South Hongling Road, Shenzhen	CBRC Shenzhen Office Shenzhen Central Sub-branch, Guangzhou Branch, PBOC
	Postcode: 518010	
Sichuan Branch	Sichuan CCB Plaza, No. 86, Tidu Street, Chengdu	CBRC Sichuan Office PBOC Chengdu Branch
	Postcode: 610016	
Suzhou Branch	No. 18, Suhua Road, Suzhou	CBRC Suzhou Office Suzhou Central Sub-branch, Nanjing Branch, PBOC
	Postcode: 215021	
Tianjin Branch	Plus 1 No.19, Nanjing Road, Hexi District, Tianjin	CBRC Tianjin Office PBOC Tianjin Branch
	Postcode: 300203	
Xiamen Branch	No. 98, Lujiang Road, Xiamen	CBRC Xiamen Office Xiamen Central Sub-branch, Shanghai Branch, PBOC
	Postcode: 361003	
Tibet Branch	No. 99, Beijing Middle Road, Lhasa	CBRC Tibet Office Lhasa Central Sub-branch, Chengdu Branch, PBOC
	Postcode: 850000	
Xinjiang Branch	No. 99, Minzhu Road, Urumqi	CBRC Xinjiang Office Urumqi Central Sub-branch, Xian Branch, PBOC
	Postcode: 830002	

NAME	ADDRESS	AUTHORITY
Yunnan Branch	CCB Plaza, Jinbi Road, Kunming	CBRC Yunnan Office Kunming Central Sub-branch, Chengdu Branch, PBOC
	Postcode: 650021	
Zhejiang Branch	No. 288, Tiyuchang Road, Hangzhou	CBRC Zhejiang Office Hangzhou Central Sub-branch, Shanghai Branch, PBOC
	Postcode: 310003	
Hong Kong Branch	CCB Tower,3 Connaught Road Central, Central, Hong Kong	Hong Kong Monetary Authority
Singapore Branch	9 Raffles Place, #33-01/02, Republic Plaza, Singapore 048619	Monetary Authority of Singapore
Frankfurt Branch	Bockenheimer Landstrasse 51-53, 60325 Frankfurt am Main, Germany	Bundesanstalt für Finanzdienstleistungsaufsicht
Johannesburg Branch	95 Grayston Drive, Morningside, SANDTON, SOUTH AFRICA 2196	South African Reserve Bank
Tokyo Branch	West Tower, Otemachi First Square, 5-1, Otemachi 1-chome Chiyoda-ku, Tokyo 100-0004	Financial Service Agency
Seoul Branch	China Construction Bank Tower, 24 Myeongdong 11-gil, Jung-gu, Seoul 04538, Korea	Financial Supervisory Service
New York Branch	1095 Avenue of Americas, 33rd Floor, New York, 10036, U.S.A.	Federal Reserve Bank Federal Reserve Bank of New York New
Ho Chi Minh City Branch	1105 Sailing Tower, 111A Pasteur Street, District 1, Ho Chi Minh City, Vietnam	State Bank of Vietnam
Sydney Branch	Level 31, 88 Phillip Street, Sydney, NSW 2000, Australia	The Australian Prudential Regulation Authority (APRA)
Taipei Branch	2/F-A3, No.106, Sec.5, Xinyi Road, Xinyi Dist., Taipei 11047, Taiwan	Taiwan Financial Supervisory Commission
Luxembourg Branch	1 Boulevard Royal L-2449 Luxembourg, Luxembourg	Commission De Surveillance du Secteur Financier

NAME	ADDRESS	AUTHORITY
Macau Branch	No. 61, Avenida de Almeida Ribeiro, Circle Square, 5/FI., Macau	Monetary Authority of Macao
China Construction Bank London Branch	111 Old Broad Street, London, EC2N 1AP, UK	China Banking Regulatory Commission; Prudential Regulation Authority; Financial Conduct Authority
China Construction Bank Toronto Branch	181 BAY STREET SUITE 3650 TORONTO, ON M5J 2T3	Office of the Superintendent of Financial Institutions
China Construction Bank (Europe) S.A. Milano Branch	Viale Della Liberazione 13,Milan,Italy	Bank of Italy
CCB (EUROPE)S.A.AMSTERDAM BRANCH	14th floor, Vinoly Building Gustav Mahlerplein 2, 1082MA, Amsterdam Postcode:1082MA	Commission De Surveillance du Secteur Financier
China Construction Bank(Europe), S.A.,Sucursal en España	Avenida Diagonal,640, 5a Planta D,08017,Barcelona, Spain	Servicio Ejecutivo de la Comisión de Prevención de Blanqueo de Capitales e Infracciones Monetarias - SEPBLAC
China Construction Bank (Europe) S.A. Paris Branch	86-88 boulevard Haussmann- 75008 Paris	Autorité de contrôle prudentiel et de résolution (ACPR) ; Autorité des marchés financiers (AMF) ; Traitement du renseignement et action contre les circuits financiers clandestins (TRACFIN)
China Construction Bank (Europe) S.A. Warsaw Branch	ul.Emilii Plater 53, 00-113, Warsaw, Poland	Generalny Inspektor Informacji Finansowej(GIIF) Komisja Nadzoru Finansowego(KNF)
China Construction Bank, Agencia de Chile	Isidora Goyenechea 2800, 30th floor, Santiago, Chile	Superintendencia de Bancos e Instituciones Financieras (SBIF)
China Construction Bank Corporation, Beijing, Swiss Branch Zurich	Beethovenstrasse 33 8002 Zurich	Swiss Financial Markets Supervisory Authority

NAME	ADDRESS	AUTHORITY
China Construction Bank (DIFC Branch)	3102-3104, Tower 2, Al Fattan Currency House, DIFC, P.O. Box 128220, Dubai, United Arab Emirates	Dubai Financial Services Authority
China Construction Bank (Brasil) Banco Múltiplo S/A	Avenida Brigadeiro Faria Lima 4440, 3º Andar Postcode: 04538-132	Banco Central do Brasil
China Construction Bank (Brasil) Banco Múltiplo S/A – Cayman Branch	103 Church Street, Grand Cayman - KY1 - 1108 Postcode: 1108	CIMA – Cayman Island Monetary Authority
China Construction Bank Corporation, New Zealand Branch	Level 29, the Vero Centre, 48 Shortland Street, Auckland, New Zealand Postcode: 1010	Reserve Bank of New Zealand
China Construction Bank Corporation, Astana Branch	16, Dostyk street, Talan Towers, 26 floor, Esil district, Astana city, the Republic of Kazakhstan. Postcode: 010017	ASTANA FINANCIAL SERVICES AUTHORITY, Supervisory Authority
China Construction Bank Corporation Labuan Branch	Unit Level 13 (E), Main Office Tower, Financial Park Labuan, Jalan Merdeka, 87000 Federal Territory of Labuan, Malaysia.	Labuan Financial Services Authority
China Construction Bank (Asia) Corporation Limited	28 Floor, CCB Tower, 3 Connaught Road Central, Central, Hong Kong	Hong Kong Monetary Authority
China Construction Bank (Asia) Finance Limited	22/F, CCB CENTRE, 18 WANG CHIU ROAD, KOWLOON BAY, KOWLOON, HONG	Hong Kong Monetary Authority
China Construction Bank (London) Limited	111 Old Broad Street, London, EC2N 1AP, UK	Prudential Regulation Authority; Financial Conduct Authority
China Construction Bank (Russia) limited	Lubyanskiy proezd, 11/1, bldg 1, 101000 Moscow, Russia	Bank of Russia
China Construction Bank (Dubai) Limited	3102-3104, 31st Floor, Tower 2, Al Fattan Currency House, DIFC, PO Box 128220, Dubai, UAE	Dubai Financial Services Authority

NAME	ADDRESS	AUTHORITY
China Construction Bank (Europe) S.A.	1 Boulevard Royal L-2449 Luxembourg, Luxembourg	Commission De Surveillance du Secteur Financier
China Construction Bank(New Zealand) Limited	Level 29, the Vero Centre, 48 Shortland Street, Auckland 1010 POBOX 305, Shortland Street, Auckland 1140	Reserve Bank of New Zealand
China Construction Bank(Malaysia) Berhad	Ground Floor, South Block, Wisma Selangor Dredging, 142-A Jalan Ampang, 50450, Kuala Lumpur, Malaysia	Bank Negara Malaysia
CCB International	34/F, Two Pacific Place, 88	Securities and Futures Commission
Sino-German Bausparkasse Co. Ltd.	27th Floor, Plus 1 No. 19, Nanjing Road, Hexi District, Tianjin	CBRC Tianjin Office PBOC Tianjin Branch
	Postcode: 300203	
CCB Principal Asset Management Co., Ltd.	10/F, Wynnand International Finance Centre, No.7, Finance Street, Xicheng District, Beijing	China Securities Regulatory Commission
CCB Financial Leasing Corporation Limited	6th Floor, 1-4, Naoshikou Street, Xicheng District, Beijing, China	CBRC Beijing Office Business Management Department of the People's Bank of China
	Postcode: 100031	

Remark:

The People's Bank of China is called PBOC for short.

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, China Construction Bank Corporation (“**Foreign Bank**”) hereby certifies as follows:

¹ A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check **one** box.

This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.

This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check **one** box and complete the blanks.

Foreign Banks maintains a **physical presence** in any country. That means:

- Foreign Bank has a place of business at the following street address: No. 25, Finance Street, Xicheng District, Beijing 100033, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in The People's Republic of China (insert country), where Foreign Bank is authorized to conduct banking activities.
- Foreign Bank is subject to inspection by China Banking and Insurance Regulatory Commission and The People's Bank of China, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.

Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:

- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
- The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
- Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.

Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank

hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, **if applicable.**

- 1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- 2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, **if applicable.**

- 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one **person**.

Name	Address

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

F. Process Agent: complete the following.

The following individual or entity: CCB New York Branch
is a resident of the United States at the following street address:
1095 Avenue of Americas, 33rd Floor, New York, 10036, U.S.A., and
is authorized to accept service of legal process on behalf of Foreign Bank from the
Secretary of the Treasury or the Attorney General of the United States pursuant to
Section 5318(k) of title 31, United States Code.

G. General

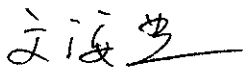
Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Wen Haiyan (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

China Construction Bank Corporation

[Name of Foreign Bank]



[Signature]

Wen Haiyan

[Printed Name]

Deputy General Manager

[Title]

Executed on this 12 day of December, 2019.

Received and reviewed by:

Name: _____

Title: _____

For: _____
[Name of Covered Financial Institution]

Date: _____

[67 FR 60573, Sept. 26, 2002]

RECERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

The undersigned financial institution, China Construction Bank Corporation
("Foreign Bank"), hereby certifies as follows:

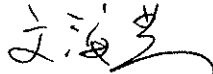
1. Foreign Bank has executed a Certification dated December 12, 2019, (the "Certification") relating to one or more Correspondent Accounts maintained by one or more Covered Financial Institutions for Foreign Bank. Terms defined in the Certification have the same meaning in this Recertification.
2. The information contained in the Certification:
 - remains true and correct.
 - is revised by the information provided within this Recertification (attach a statement describing the information that is no longer correct and stating the correct information).

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Recertification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Recertification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Wen Haiyan (name of signatory), certify that I have read and understand this Recertification, that the statements made in this Recertification are complete and correct, and that I am authorized to execute this Recertification on behalf of Foreign Bank.

China Construction Bank Corporation

[Name of Foreign Bank]



[Signature]

Deputy General Manager

[Title]

Executed on this 12 day of December, 2019.

Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____

[67 FR 60573, Sept. 26, 2002]